

Case Docket No. ASMMC.057AUS

Date: June 23, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Leinikka et al.

Appl. No.

10/810,415

Filed

March 25, 2004

For

SEED LAYER FORMATION

Examiner

Unknown

Group Art Unit:

2812

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 23, 2004 (Date)

///_

Andrew N. Merickel, Reg. No. 53,317

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

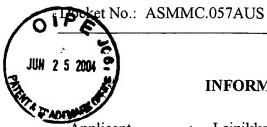
Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing one-hundred fourteen (114) references.
- (X) Enclosed are sixty (60) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Andrew N. Merickel Registration No. 53,317 Attorney of Record

Customer No. 20,995

(415) 954-4114



INFORMATION DISCLOSURE STATEMENT

Applicant

Leinikka et al.

App. No.

10/810,415

Filed

March 25, 2004

For

SEED LAYER FORMATION

Examiner

Unknown

Group Art Unit

2812

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 114 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references are enclosed.

This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:

Andrew N. Merickel

Registration No. 53,317

Attorney of Record

Customer No. 20,995

(415) 954-4114

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SECRAL SHEETS IF NECESSARY)

ATTY, DOCKET NO. ASMMC.057AUS	APPLICATION NO. 10/810,415
APPLICANT Leinikka et al	
FILING DATE	GROUP

2812

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	3,708,728	1/2/73	Sterling et al.			<u> </u>
	2.	4,058,430	11/15/77	Suntola et al.			
	3.	4,565,747	1/21/86	Nakae et al.			
	4.	4,935,661	6/19/90	Heinecke et al.			
	5.	5,281,274	01/25/94	Yoder			
	6.	5,306,666	4/26/94	Izumi			
	7.	5,316,793	05/94	Wallace			•
	8.	5,342,652	08/30/94	Foster et al.			
	9.	5,382,333	1/17/95	Ando et al.			
	10.	5,438,028	8/1/95	Weissman et al.			
	11.	5,595,784	1/21/97	Kaim et al.			
	12.	5,603,771	2/18/97	Seiberras et al.			
	13.	5,691,235	11/25/97	Meikle et al.			
	14.	5,711,811	01/27/98	Suntola et al.			
	15.	5,723,384	03/03/98	Park et al.			
	16.	5,744,254	4/28/98	Kampe et al.			
	17.	5,789,024	8/4/98	Levy et al.			
	18.	5,915,004	6/22/99	Pabbati et al.			
	19.	5,916,365	06/29/99	Sherman			
	20.	5,946,598	8/31/99	Yeh			
	21.	5,964,943	10/12/99	Stein et al.			
	22.	5,972,430	10/26/99	DiMeo, Jr. Et al.			
	23.	6,006,763	12/28/99	Mori et al.			
	24.	6,015,590	1/18/00	Suntola et al.			
	25.	6,087,257	7/11/00	Park et al.			
	26.	6,099,904	8/8/00	Mak et al.			
	27.	6,156,382	12/5/00	Rajagopaian et al.			
	28.	6,162,501	12/19/00	Kim			

March 25, 2004

EXAMINER	DATE CONSIDERED	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ASMMC.057AUS

APPLICATION NO. 10/810,415

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Leinikka et al..

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP
March 25, 2004 2812

	T		·	U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME (CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE
•	29.	6,203,613	3/20/01	Gates et al.	·		
	30.	6,206,967	3/27/01	Mak et al.			
	31.	6,284,646	9/4/01	Leem			
	32.	6,287,965	09/11/01	Kang et al.			
	33.	6,342,277 B1	1/29/02	Sherman			•
	34.	6,355,561	3/12/02	Sandhu et al.			
	35.	6,380,627	4/30/02	Weihs et al.			
	36.	6,416,577	7/9/02	Suntola et al.			
	37.	6,482,733	11/19/02	Raaijmakers et al.			
	38.	6,482,740 B2	11/19/02	Soininen et al.			
	39.	6,534,395	3/18/03	Werkhoven et al.			
	40.	6,576,053	6/10/03	Kim et al.			
	41.	6,616,982	9/9/03	Merrill et al.			
	42.	6,652,924	11/25/03	Sherman			
	43.	US 2003/0032281	2/13/03	Werkhoven et al.			
	44.	US 2003/0049931 A1	3/13/03	Byun et al.			
	45.	US 2003/0104126 A1	6/5/03	Fang et al.			
	46.	US 2003/0123216 A1	7/3/03	Yoon et al.			
	47.	US 2003/0127043 A1	7/10/03	Lu et al.			
	48.	US 2003/0153181 A1	8/14/03	Yoon et al.			
	49.	US 2003/0157760 A1	8/21/03	Xi et al.			
	50.	US 2003/0161952 A1	8/28/03	Wang et al.			
	51.	US 2003/0165615	9/4/03	Aaltonen et al.			
	52.	US 2003/0181035 A1	9/25/03	Yoon et al.			
	53.	US 2003/0194825 A1	10/16/03	Law et al.			•
	54.	US 2003/0203616 A1	10/30/03	Chung et al.			

EXAMINER	EXAMINER	
----------	----------	--

FORM	PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. ASMMC.057AUS APPLICATION NO. 10/810,415

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FILING DATE

APPLICANT Leinikka et al..

March 25, 2004

GROUP 2812

(USE SEVERAL SHEETS IF NECESSARY)

FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS **TRANSLATION** INITIAL YES NO 55. EP 0 387 403 A1 10/20/89 **EPO** 56. EP 0 394 054 A1 4/20/90 **EPO** 57. EP 0 442 490 A1 08/21/91 **EPO** 58. EP 0 573 033 A1 6/3/93 **EPO** 59. EP 0 774 533 A1 10/24/96 **EPO** 60. EP 0 899 779 A2 03/03/99 **EPO** 61. EP 1 167 567 A1 02/01/02 **EPO** 62. JP 6037041 2/10/94 Japan 63. JP 6069157 3/11/94 Japan 64. JP 7230957 8/29/95 Japan 65. JP 8 264 530 A 10/11/96 Japan Abstract 66. WO 96/18756 6/20/96 **PCT** 67. WO 98/51838 **PCT** 11/19/98 68. WO 00/01006 **PCT** 01/06/00 69. **PCT** WO 00/47404 2/11/00 70. **PCT** WO 00/47796 08/17/00 71. **PCT** WO 00/54320 9/14/00 72. WO 01/27347 4/19/01 **PCT** 73. WO 01/29280 **PCT** 4/26/01 74. WO 01/29891 4/26/01 **PCT 75**. WO 01/29893 **PCT** 4/26/01 **76**. WO 01/53565 1/22/01 **PCT** 77. WO 01/66832 A2 9/13/01 **PCT** 78. WO 01/78123 10/18/01 **PCT** 79. WO 01/88972 11/22/01 **PCT** 80. WO 96/17107 6/6/96 **PCT** 81. WO 96/18756 06/20/96 **PCT**

EXAMIN	ER

DATE CONSIDERED

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ASMMC.057AUS

APPLICATION NO. 10/810,415

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Leinikka et al..

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP 2812

82.	WO 98/51838	11/19/98	PCT
-----	-------------	----------	-----

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	83.	"Kirk-Othmer Encyclopedia of Chemical Technology," 4 th Edition, Vol. 4, John Wiley & Sons, Inc. pp. 841-878, (1992).					
	84.	Andriacacos et al., "Damascene copper electroplating for chip," IBM Jour. Research and Dev., 42:567 (1998).					
	85.	Bain et al., "Deposition of tungsten by plasma enhanced chemical vapour deposition," <u>J. Phys. IV France</u> , Vol. 9, pp. 827-833 (1999)					
	86.	Elers et al., "NbC15 as a precursor in atomic layer epitaxy," Applied Surface Science, 82/83:468-474 (1994).					
	87.	Girolami, Gregory S., James A. Jensen, John E. Gozum, and Deborah M. Pollina, "Tailored Organometallics as Low-Temperature CVD Precursors to Thin Films," <u>Materials Research Society Symposium Proceedings</u> , Vol. 121, pp. 429-438, (1988).					
	88.	Helmut Tulhoff, Hermann C. Starck, and Werk Goslar, "Ullmann's Encyclopedia of Industrial Chemistry," 5th, Completely Revised Edition, Vol. A5, pp. 61-77, (1986).					
	89.	Hermann Jehn, Gudrun Bär, Erich Best, and Ernst Koch, "Gmelin Handbook of Inorganic and Organometallic Chemistry," 8 th Edition, Vol. A 5b, No. 54, pp. 131-154, (1993).					
	90.	Hiltunen et al., "Nitrides of titanium, niobium, tantalum and molybdenum grown as thin films by the atomic layer epitaxy method," <i>Thin Solid Films</i> , 166:149-154 (1988).					
	91.	Jeon, H., "A Study on the Characteristics of TiN Thin Film Deposited by Atomic Layer Chemical Vapor Deposition Method," AVS 46 th International Symposium, Seattle, WA, abstract TF-MoP17 (1999)					
	92.	Jeon, H., et al., "A Study on the Characteristics of TiN Thin Film Deposited by Atomic Layer Chemical Vapor Deposition Method," J. Vac. Sci. Technol. A, 18(4), 1595-1598 (2000)					
	93.	Juppo et al., "Deposition of copper films by an alternate supply of CuCl and Zn," J. Vac. Sci. Technol A, Vol. 15, No. 4, pp. 2330-2333, (July/August 1997).					
	94.	Klaus et al., "Atomic Layer Deposition of Tungsten Nitride Films Using Sequential Surface Reactions," <u>Journal of the Electrochemical Society</u> , Vol. 147, No. 3, pp. 1175-1181, (2000).					
•	95.	Klaus et al., "Atomic layer deposition of tungsten using sequential surface chemistry with a sacrificial stripping reaction," Thin Solid Films, Vol. 360, pp. 145-153, (2000).					
	96.	Klaus, J.W., et al., "Atomic layer deposition of tungsten and tungsten nitride using sequential surface reactions," AVS 46th International Symposium, Seattlhe, WA, abstract TF-TuM6 (1999)					
	97.	Klaus, J.W., S.J. Ferro, and S.M. George, "Atomically controlled growth of tungsten and tungsten nitride using sequential surface reactions," <u>Applied Surface Science</u> , Vols. 162-163, pp. 479-491, (2000).					
	98.	Lai, Ken K. and H. Henry Lamb, "Precursors for Organometallic Chemical Vapor Deposition of Tungsten Carbide Films," Chem. Mater., Vol. 7, pp. 2284-2292, (1995).					
	99.	Leskelä et al., "ALD precursor chemistry: Evolution and future challenges," <u>Jour. Phys. IV France 9</u> , pp. 837-852 (1999).					
	100.	Ludviksson et al., "Low-Temperature Thermal CVD of Ti-Al Metal Films Using a Strong Reducing Agent," Chem. Vap. Deposition, Vol. 4, No. 4, pp. 129-132, (1998)					

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ASMMC.057AUS	APPLICATION NO. 10/810,415	
	DISCLOSURE STATEMENT Y APPLICANT	APPLICANT Leinikka et al		
(USE SEVERAL	. SHEETS IF NECESSARY)	FILING DATE March 25, 2004	GROUP 2812	

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)			
	Martensson et al., "Atomic Layer Epitaxy of Copper and Tantalum," <u>Chemical Vapor Deposition</u> , Vol. 3, N 45-50, (1997)				
	102.	Martensson et al., "CU(THD) ₂ As Copper Source in Atomic Layer Epitaxy," <u>Electrochemical Society Proceedings</u> , Vol. 97-25, pp. 1529-1536			
	103.	Martensson, "Use of atomic layer epitaxy for fabrication of Si/TiN/Cu structures," <u>J. Vac. Sci. Technol. B</u> , Vol. 17, No. 5, pp. 2122-2128, (Sept./Oct. 1999)			
	104.	Min, Jae-Sik, Young Woong Son, Won-Gu Kang, Soung-Soon Chun, and Sang-Won Kang, "Atomic Layer Deposition of TiN Films by Alternate Supply of Tetrakis (ethylmethylamino)-Titanium and Ammonia," <u>Jpn. J. Appl. Phys.</u> , Vol. 37, pp. 4999-5004, (1998).			
*	105.	Min, Jae-Sik, Young-Woong Son, Won-Gu Kang, and Sang-Won Kang, "Atomic Layer Deposition of TiN Thin Films by Sequential Introduction of Ti Precursor and HN ₃ ," Mat. Res. Soc. Symp. Proc., Vol. 514, pp. 337-342, (1998).			
	106.	Nakajima, Tsuyoshi and Toru Shirasaki, "Chemical Vapor Deposition of Tungsten Carbide, Molybdenum Carbide Nitride, and Molybdenum Nitride Films," <u>J. Electrochem. Soc.</u> , Vol. 144, No. 6, pp. 2096-2100, (June 1997)			
	107.	Polyakov et al., "Growth of GaBN Ternary Soloutions by Organometallic Vapor Phase Epitaxy," <u>Journal of Electronic Materials</u> , Vo. 26, No. 3, pp. 237-242, (1997)			
	108.	Ritala et al., "Atomic layer epitaxy growth of TiN thin films," J. Electrochem. Soc., 142(8):2731-2737 (1995)			
	109.	Ritala, Mikko, Markku Leskelä, Eero Rauhala, and Janne Jokinen, "Atomic Layer Epitaxy Growth of TiN Thin Films from Til ₄ and NH ₃ ," J. Electrochem. Soc., Vol. 145, No. 8, pp. 2914-2920, (August 1998)			
	110.	Ritala et al., "Effects of intermediate zinc pulses on properties of TiN and NbN films deposited by atomic layer epitaxy," Appl. Surf. Sci., 120:199-212 (1997).			
	111.	Ritala et al., "Perfectly conformal TiN and Al ₂ O ₃ films deposited by atomic layer deposition," <u>Chem. Vapor Deposition</u> , 5:7-9 (1999).			
	112.	Ryu et al., "Barriers for copper interconnections," Solid State Technology, April, 53 (1999).			
	113.	Sherman et al., "Plasma enhanced atomic layer deposition of Ta for diffusion barrier applications," AVS 46 th International Symposium, Paper TF-TuM5 (abstract), (October 26, 1999), Seattle, WA.			
	114.	Yang et al., "Atomic Layer Deposition of Tungsten Film from WF6/B2H6: Nucleation Layer for Advanced Semiconductor Devices," Advanced Metallization Conference 2001 (AMC 2001), Conference Proceedings ULSI XVII@2002 Materials Research Society, pp. 655-660.			

W:\DOCS\BCL\BCL-1337.DOC 061004

EXAMINER	DATE CONSIDERED
1	